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DECENTRALIZED PERIMETER SURVEILLANCE USING A TEAM OF SMALL UAVs (PREPRINT)

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**Control Design and Analysis Branch
Structures Division**

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Decentralized Perimeter Surveillance Using a Team of UAVs

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This paper poses the cooperative perimeter surveillance problem and offers a decentralized solution that accounts for perimeter growth (expanding or contracting) and insertion/deletion of team members. By identifying and sharing the critical coordination information and by exploiting the known communication topology, only a small communication range is required for accurate performance. Simulation and hardware results are presented that demonstrate the applicability of the solution.

I. Introduction

Perimeter surveillance algorithms form the basis for effective monitoring in a number of applications including monitoring oil spills,¹ contaminant clouds,² algae bloom,³ forest fires,^{4,5} and border security.^{6,7} The literature in this area can roughly be decomposed into two main groups: sensor technology used for perimeter detection; and algorithms used to effectively gather data along the perimeter effectively.

Sensors that have been investigated for small fixed border scenarios, such as warehouse surveillance, include cameras,⁸ ultrasound,⁹ and radar.¹⁰ In Ref. 8, the authors discuss algorithms that use image data from multiple cameras to determine a perimeter breach. Peralta⁹ uses a chain of ultrasound sensors with a simple detection scheme to identify border crossings. Research has also been done using existing airport radar equipment to identify when people or vehicles come too close to runways.¹⁰ For spill monitoring and other dynamic perimeter scenarios, surveillance vehicles are equipped with chemical concentration sensors,¹¹ infrared cameras,⁵ or standard optical cameras.¹

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Our aim is to develop algorithms that operate on small UAVs which offer some distinct advantages over larger UAVs. Small UAVs can be man portable and hand launchable, removing the need for traditional runways and allowing teams to be easily and rapidly deployed even in rough terrain. As a relatively inexpensive platform, large numbers of small UAVs can be deployed to increase the rate at which information is gathered. The use of small UAVs creates unique requirements for the cooperation algorithms that control teams of such vehicles. Algorithms must be robust to loss of agents since small UAVs are more susceptible to weather conditions and are more fragile than larger UAVs. The communication packages onboard small UAVs are often low-power, requiring that communication constraints be explicitly addressed in their cooperation strategies. Finally, the computational burden should remain constant regardless of team size, i.e. the cooperation algorithm should scale well for large teams. Since a cooperation algorithm that is robust, addresses communication constraints, and is scalable to large teams will work on both large and small UAVs, we focus our efforts on developing such an algorithm.

We are particularly interested in monitoring borders that are of unknown shape and size and possibly changing in time like those that would be encountered in monitoring a forest fire or chemical spill. Additionally, we do not exclude large borders where communication range will limit the possible interaction of the team. We will assume that UAV agents have the proper sensor suite to detect changes in the perimeter and track the edge of the perimeter. We will not focus on the necessary sensor technology to do this, but rather on the algorithms that will allow a team of agents to monitor a perimeter in a decentralized fashion. Perimeter surveillance using multiple UAVs has the advantage of operating in a wide variety of situations like changing perimeters (spill monitoring, forest fire surveillance) or very large perimeters (border patrol).

A number of researchers have investigated similar problems of monitoring/tracking changing perimeters with autonomous vehicles. The MDARS project¹² is a joint effort between the Army and Navy that networks multiple ground robots to cooperatively monitor a fixed perimeter near critical storage facilities. A team of robots are equipped with coarse obstacle detection sensors and a high precision narrow field of view sensor to find and track objects that have breached the perimeter.⁷ The entire team of vehicles communicates to a central location where sensor data is fused and waypoint commands are issued.⁶ Our work differs from MDARS in that we do not require team agents to be in constant communication with a centralized controller; rather, agents are frequently outside of the communication range of the other team members and must monitor the perimeter in a decentralized manner. Information gathered by the team is then carried to a base location where the state of the perimeter is displayed and human operators make decisions.

Teams of autonomous underwater vehicles have been proposed as a way to track algae bloom and oil spills. In Ref. 13, Bertozzie et al. present an algorithm for monitoring a perimeter with multiple vehicles where each is equipped with a concentration sensor. When the sensor detects the presence of the chemical, the vehicle turns in one direction; in the absence of chemical detection,

the vehicle turns in the opposite direction. In this way, an agent weaves around the perimeter of the spill while communicating the perimeter crossing points to completely survey the perimeter. A simple spacing law adjusts the speed of the vehicles to allow the team to spread out along the perimeter. The algorithm has been shown to work in hardware testbed experiments with virtual perimeters.¹⁴

Clark and Fierro propose a similar method for oil spill perimeter tracking using multiple vehicles.¹ A fleet of vehicles is deployed and will search the region and communicate to team members when the perimeter is located. Agents will approach the perimeter and begin to track it in a predetermined direction. Spacing of the vehicles is accomplished by adjusting linear velocity. Hardware experiments using a camera sensor on wheeled robots is shown to validate the algorithm. In both this approach and the one proposed by Bertozzi et al.,¹³ neither the efficiency nor the convergence of the algorithms are shown analytically. In addition, the problem of limited communication range is not addressed.

Susca, Martinez and Bullo address the issue of approximating a changing border with a set of interpolation points.¹⁵ As the team agents traverse the perimeter, they update the points that describe the perimeter to best fit a polygon to the shape of the perimeter. Their algorithm is shown to converge and relies only on communication between immediate neighbors.

In this paper, we will present an algorithm for perimeter surveillance that: (1) is completely decentralized, (2) is provably convergent to the optimal behavior in finite-time, (3) explicitly accounts for communication range limitations, and (4) allows for changing perimeters. The primary advantages to a decentralized approach are scalability and inherent system robustness. Since agents only make decisions based on neighbor interactions, the required communication bandwidth and computation is fixed irrespective of the total number of agents on the team. Decentralization is inherently robust since each agent makes decisions with its available information without a need to receive directions from a central location. This eliminates single points of failure and allows a system to adapt naturally to changes in team size. Agents can be inserted and deleted from the team at any time and the system will adjust since each agent will maneuver to find its new neighbors. This allows agents to leave the team for high priority tasks, such as following a perimeter breach, or in case of accident or refueling.

In addition to being fully decentralized, our approach is optimal at steady-state and has finite-time convergence. Additionally, our approach requires very little communication bandwidth and accounts for UAV kinematic constraints. The algorithm is limited to constant velocity vehicles that travel along the border and due to its decentralized nature, any global information that may be available is not exploited. For missions where robustness is valued more than efficiency, our approach is a natural fit. Since it guarantees optimality in steady-state and finite-time convergence, only missions that have strict efficiency requirements would not be well-suited to the approach.

The perimeter surveillance problem is posed in Sections II and III. Section IV presents our

solution using a coordination variable¹⁶ approach and compares it to both averaging and centralized solutions. The method is extended to changing perimeters in Section V and to account for constrained UAV turning radius in Section VI. Simulation and hardware results are presented in Sections VII and VIII. Finally, Section IX gives our conclusions.

II. Problem Formulation

The objective of the cooperative perimeter surveillance problem is to cooperatively gather information about the state of the perimeter and to transmit that data to a central base station with as little delay and at the highest rate possible. There are a number of factors that complicate the perimeter surveillance problem including:

1. Perimeter topology
2. Communication constraints
3. Team logistics
4. UAV capability.

Perimeter Topology. A perimeter may be static, such as a well-defined border, or changing in time, such as a chemical spill or forest fire. A perimeter can be composed of a web of segments and nodes that must be monitored, such as a set of city streets or a network of paths in the mountains, although we do require the graph representing the perimeter to be strongly connected. An area surveillance problem can sometimes be posed as a perimeter surveillance problem by constructing a path that covers the area using, for example, a zamboni pattern, and then monitoring that path as a perimeter. The perimeter location need not be known *a priori*, but when this is the case we assume that the UAVs have the sensor capacity to detect and follow the perimeter autonomously.

Communication Constraints. Small, inexpensive UAVs often have limited communication bandwidth and short communication range. In scenarios where the perimeter is very large or terrain causes line-of-sight problems, agents may frequently be out of communication range of the base station and neighboring UAVs. Additionally, the gathered data may require significant time to transmit when a UAV is in communication range of its neighbors (e.g. complete video footage).

Team Logistics. UAVs have limited flight time and must be periodically refueled. In many cases, a UAV may be re-tasked to investigate a perimeter breach. Hardware failures and hazardous flying conditions may unexpectedly remove a UAV from involvement. A perimeter surveillance solution should be robust to failures and allow for interruptions such as reassignment and refueling.

UAV Capability. The maneuverability of the UAV agents also effects the monitoring of a perimeter. We assume that the UAVs are equipped with an autopilot similar to the one described in Ref. 17. The autopilot maintains constant altitude and each UAV on the team is given a unique

altitude assignment. The autopilot has been tuned so that the closed-loop system exhibits a first order response to roll and airspeed commands. Under these assumptions, the kinematic equations of motion for a single UAV can be written as

$$\dot{p}_N = V \cos \psi + w_N \quad (1)$$

$$\dot{p}_E = V \sin \psi + w_E \quad (2)$$

$$\dot{\psi} = \frac{g}{V} \tan \phi \quad (3)$$

$$\dot{V} = \alpha_V (V^c - V) \quad (4)$$

$$\dot{\phi} = \alpha_\phi (\phi^c - \phi), \quad (5)$$

where $\mathbf{p} = (p_N, p_E)^T$ is the inertial position of the UAV, ψ , ϕ , and V are the heading, roll angle, and airspeed, g is the gravitational constant, $\mathbf{w} = (w_N, w_E)^T$ is the wind vector, and V^c and ϕ^c are the airspeed and roll angle commands given to the autopilot. The first order response of the autopilot to airspeed and roll angle commands are quantified by the parameters α_V and α_ϕ . In addition to these kinematics, a constraint on roll angle $-\phi_{\max} \leq \phi \leq \phi_{\max}$ is enforced to ensure the safety of the UAV. The presence of wind and the roll angle constraint impair the maneuverability of the vehicles.

Developing a perimeter surveillance algorithm that accounts for these complications and efficiently gathers data about the perimeter state is not trivial. We reduce the general problem to a more manageable, but still applicable, problem and present the team behavior that efficiently solves that problem in Section III. Section IV then introduces and proves the convergence of an algorithm for reaching the desired behavior while accounting for limited communication range.

III. Linear Perimeter Surveillance

We reduce the general perimeter surveillance problem of Section II to the linear surveillance problem by assuming that the perimeter to be monitored is homeomorphic to a line and can therefore be represented as a single path between two points. This assumption eliminates perimeters that are circular or that are connected in a web-like structure. However, an arbitrary connected perimeter can be reduced to a linear perimeter by constructing a single tour that traverses all segments of the original perimeter. In practice, a surveillance mission will have a base of operations where information about the perimeter is analyzed by human operators and team agents are refueled and relaunched. Circular perimeters can be treated as linear perimeters with both endpoints at the base of operations.

A linear perimeter imposes a natural order to the team where each agent has at most two immediate neighbors along the perimeter. By requiring that neighbors physically meet to transmit infor-

mation, any size of communication range is allowed. In practice, the sensor footprint limitations will require UAVs to physically meet their neighbors regardless of whether they can communicate at larger distances. Therefore we assume that UAVs must meet to exchange information. Agent meeting times can be extended by loiter patterns to facilitate the transmission of large amounts of data. Loss or reassignment of team agents are quickly noticed by the change in the neighborhood of affected agents.

Team planning is accomplished by considering agents as point masses that move at uniform constant velocity along the perimeter (see Figure 1). Corresponding UAV agents follow their reference points along the perimeter as described in Section VI. We assume that point agents can reverse direction instantaneously and that they always do so at the end of the perimeter. Communication between point agents is only allowed when they are “touching”, i.e. when they occupy the same physical location. One way to visualize the problem is to imagine beads sliding along a wire.

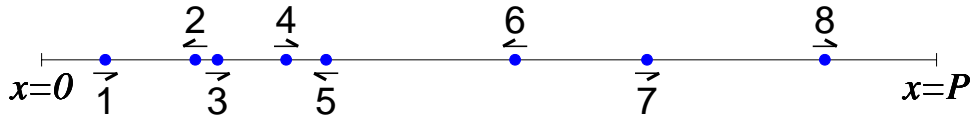


Figure 1. Example scenario where 8 agents monitor a linear perimeter.

The performance of a particular monitoring algorithm can be measured by the latency associated with information about points along the perimeter. Let P be the length of the perimeter and let the perimeter be defined as a line along the x -axis beginning at $x = 0$ and continuing until $x = P$. Since we assume that the point agents travel at uniform velocity V and data transmission only occurs when agents are in immediate physical proximity, the soonest information about point x_0 is available to a recipient at the base of operations ($x = 0$) is in x_0/V seconds. The minimum latency profile is obtained when an agent starts at the far end of the perimeter and travels to the base of operations, at which time it transmits all the perimeter information.

Note that adding more agents cannot decrease the *latency* of the gathered information as seen at the base of operations since information can only travel as fast as a single agent. However, increasing the number of agents on the team increases the *refresh rate* of the perimeter state. Intuitively, spacing agents equally so that the refresh rate is constant will yield the most efficient method for perimeter monitoring. This configuration can be achieved by tasking each agent to travel to the end of the perimeter and then monitor the entire perimeter as it returns to the base while launching agents at $2P/N$ intervals where N is the number of agents on the team. As agents monitor the perimeter while traveling to the base of operations they pass agents traveling to the end of the perimeter to begin monitoring. These meetings occur at equally spaced intervals of length P/N . Rather than have agents traverse the entire perimeter equally spaced, each can be responsible for a segment of length P/N and pass the information it gathers to its neighbors, thus achieving the same overall latency profile and refresh rate.

Consider the behavior of a team of four agents as shown in Figure 2. The agents are uniformly distributed along the perimeter (Figure 2(a)) and each agent meets its neighbors at the end of its segments (Figures 2(b) and 2(c)). This oscillatory behavior of the agents requires that the team be synchronized not only in space (equally distributed), but also in time (meet neighbors at the end of segments).

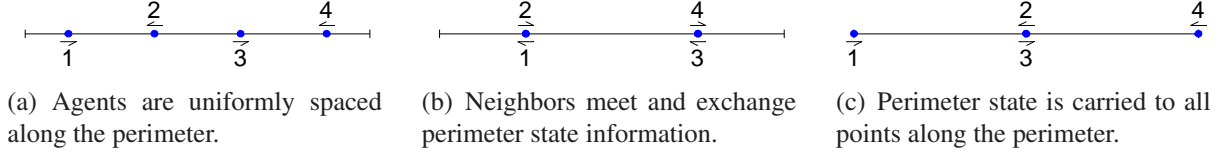


Figure 2. Information exchange pattern that allows information about the state of the perimeter to be available at any point along the perimeter.

By examining the behavior illustrated in Figure 2 it can be seen that information gathered when neighbors meet travels to all other locations along the perimeter in the shortest time possible. This can be seen by noting that after two agents meet and exchange information, each will take this information at speed V to all other places along their respective segments. This information is passed to their respective neighbors who carry it further along the perimeter, again at speed V . Therefore, the information gathered when neighbors meet is carried to all other points along the perimeter at the highest possible speed.

Definition 1 (Low-Latency Exchange Configuration). *Consider a team of N agents monitoring a linear perimeter of length P defined as a line along the x -axis from $x = 0$ to $x = P$. Order the agents from the left end of the perimeter as $1 \dots N$. Consider two sets of team agent locations on the perimeter:*

1. Agent $i \in 1 \dots N$ is located at $\lfloor i + \frac{1}{2}(-1)^i \rfloor P/N$
2. Agent $i \in 1 \dots N$ is located at $\lfloor i - \frac{1}{2}(-1)^i \rfloor P/N$

where $\lfloor \cdot \rfloor$ returns the largest integer less than or equal to its argument. The low-latency exchange configuration is the behavior realized by the team when oscillating between these two team locations at speed V .

The difference between the two sets of positions in Definition 1 is the sign of the $\frac{1}{2}(-1)^i$ term. The first set of team locations places agent 1 at $x = 0$ and all other agents in pairs at $2P/N$ equal intervals along the perimeter (see Figure 2(c)). The second set of team locations pairs agent 1 and 2 at position $x = P/N$ and spaces the remaining pairs at $2P/N$ intervals (see Figure 2(b)). Note that for each agent i , the pair of positions in Definition 1 defines the endpoints of the segment on which it remains while in the low-latency exchange configuration.

As indicated earlier, the low-latency exchange configuration is the ideal behavior for a team of agents monitoring a linear perimeter and it will be the desired steady-state behavior of the decentralized algorithm presented in Section IV. In addition to converging to the low-latency exchange configuration, the algorithm will address deletion and insertion of team members and variable length perimeters.

IV. Decentralized Solution

This section presents a decentralized algorithm to reach the low-latency exchange configuration defined in Definition 1. One way to approach the problem is to determine the *coordination variables*¹⁸ or minimum amount of information necessary to achieve cooperation. For this problem, three critical pieces of information are: (1) the perimeter length, (2) the number of agents on the left side of the perimeter relative to a given agent, and (3) the number of agents on the right side of the perimeter relative to a given agent. When each agent has correct coordination variables, then each will be able to compute the perimeter segment for which it is responsible. The first step in the decentralized solution is to ensure that when each agent has the proper values, that coordination will be achieved.

To be precise, let each agent maintain a vector containing its local version of the coordination variables. For each agent $i \in 1 \dots N$, let

$$\xi_i = \begin{pmatrix} P_{R_i} \\ P_{L_i} \\ N_{R_i} \\ N_{L_i} \end{pmatrix}$$

be the coordination vector where P_{R_i} is the length of the perimeter to the right of agent i , P_{L_i} is the length of the perimeter to the left of agent i , and N_{R_i} and N_{L_i} are the number of agents to the right and left of agent i respectively. We adopt the convention that $x = 0$ is the left border of the perimeter and $x = P$ is the right border. An agent i can then calculate the segment for which it is responsible by calculating the perimeter length $P = P_{R_i} + P_{L_i}$, the team size $N = N_{R_i} + N_{L_i} + 1$ and its relative order on the team $n = N_{L_i} + 1$. By using the definition of the low-latency exchange configuration, the segment for which agent i is responsible is defined by the endpoints at $\lfloor n \pm \frac{1}{2}(-1)^n \rfloor P/N$. We say that each agent has correct coordination variables when for each $i \in 1 \dots N$, $P_{R_i} + P_{L_i}$ matches the true perimeter length and $N_{R_i} + N_{L_i} + 1$ matches the actual number of agents on the team.

Consider an algorithm where each agent assumes responsibility for a portion of the perimeter and escorts any of its intruding neighbors to their shared segment border. The following algorithm

ensures that if each agent has correct coordination variable values (i.e. each agents knows the length of the perimeter, the total number of agents on the team, and its position in the team), then the low-latency exchange configuration will be reached.

Algorithm 1: Neighbor Escort — from the Perspective of Agent i

if agent i rendezvous with neighbor j **then**

 Calculate team size $N = N_{R_i} + N_{L_i} + 1$.

 Calculate perimeter length $P = P_{R_i} + P_{L_i}$.

 Calculate relative index $n = N_{L_i} + 1$.

 Calculate segment endpoints $\mathcal{S}_i = \{ \lfloor n - \frac{1}{2}(-1)^n \rfloor P/N, \lfloor n + \frac{1}{2}(-1)^n \rfloor P/N \}$.

 Communicate \mathcal{S}_i to neighbor j and receive \mathcal{S}_j .

 Calculate shared border position $p = \mathcal{S}_i \cap \mathcal{S}_j$.

 Travel with neighbor j to shared border position p .

 Set direction to monitor own segment.

else if reached perimeter endpoint **then**

 Reverse direction.

else

 Continue in current direction.

For every consecutive pair of agents, there is a single position where their segments border each other. When each agent has a knowledge of the length of the perimeter and its order in the team, then the endpoints of the segment for which it is responsible are computed. The endpoint shared with a neighbor is the shared border position to which both will travel together as seen in Algorithm 1. In other words, each agent escorts its neighbors to the position at which they should have met had they been in perfect synchronization. Note that agents only reverse direction at perimeter endpoints and when they finish escorting neighbor agents, so each agent is guaranteed to meet its neighbors.

Theorem 1. *Let the perimeter length P and number of agents N be fixed. If all agents have correct coordination values, then Algorithm 1 ensures that the low-latency exchange configuration is achieved after time $2T$ has passed where $T = V/P$ corresponds to the time required for one agent to travel the length of the perimeter.*

Proof. Team agents can initially be positioned anywhere along the perimeter and can be traveling either to the left or right (recall that constant uniform velocity is assumed). Since each agent has correct coordination variables, then each can calculate the segment along the perimeter for which it is responsible. Agents are guaranteed to meet both neighbors since Algorithm 1 only commands agents to reverse direction at a *perimeter* (not segment) endpoint or when concluding a neighbor escort.

For N agents monitoring a border of length P , order the segments of size P/N from the left

edge of the perimeter as $1, \dots, N$ and label each agent so that agent i is responsible for segment i . Consider first the actions of agent 1. Once agent 1 has escorted its right neighbor to their shared border, then no agent to the right of agent 1 will ever travel along segment 1 again. This can be seen by noting that after agents 1 and 2 split at their shared boundary *both* will travel the length of one segment to get to the opposite end of their respective segments. If agent 2 meets agent 3 along the way, then agents 2 and 3 will continue to their shared border before agent 2 reverses direction, as in Figure 3. Therefore, agent 2 will travel at least one segment length away from the boundary between segments 1 and 2. Since both travel at a uniform constant velocity, then agent 1 will arrive back at the border between segments 1 and 2 at the same time or before agent 2, but never after. Now consider agent 2 after it has been escorted by agent 1 to their shared boundary. Since by this time agent 2 never ventures into segment 1, the border between agents 1 and 2 can be regarded as a fixed perimeter endpoint for agent 2. The same analysis now holds if we consider agent 2 the leftmost agent in a set of $N - 1$ agents. Observe that the same argument holds starting with the rightmost agent and considering all agents to the left. Therefore, there is a time τ after which all agents are only found on their respective segments. This implies that the low-latency exchange configuration of Section III has been reached.

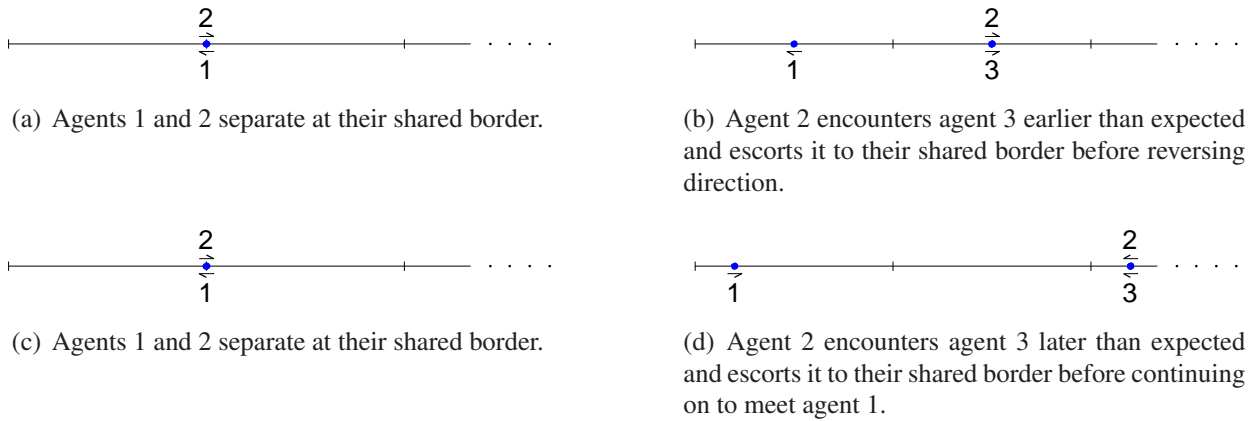
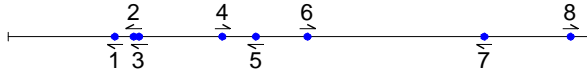


Figure 3. Possible cases for rendezvous of agent 1 with its neighbor.

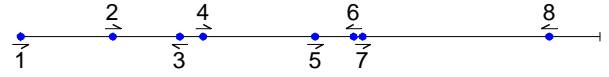
The worst case situation occurs when all agents are stacked infinitesimally close at one end of the perimeter and are traveling toward the other end. Once T has passed, all agents are at the opposite end of the perimeter where they meet both of their neighbors. Each pair will travel to their shared borders which for the farthest pair will require a travel time less than T . Therefore, the steady-state behavior will be achieved before time $2T$. \square

Figure 4 shows two simple scenarios with 8 agents spreading out over a fixed perimeter where each agent begins with correct coordination variables. The positions of agents along the perimeter is indicated vertically with the time axis shown horizontally. The lattice structure indicates that the desired steady-state behavior has been reached since agents turn around precisely at their desired

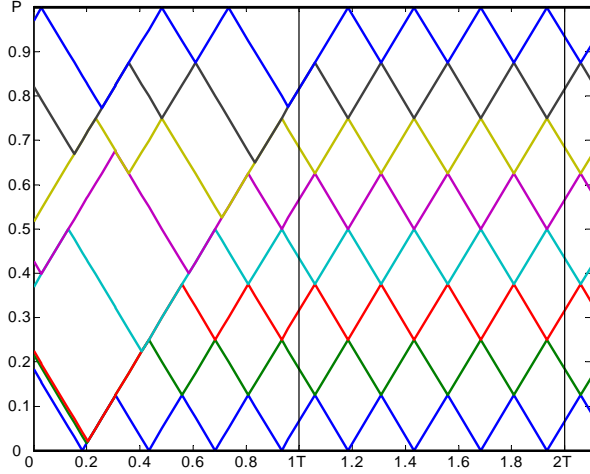
neighbor rendezvous locations. Note that the agents require very few meetings with each other to converge to the proper configuration.



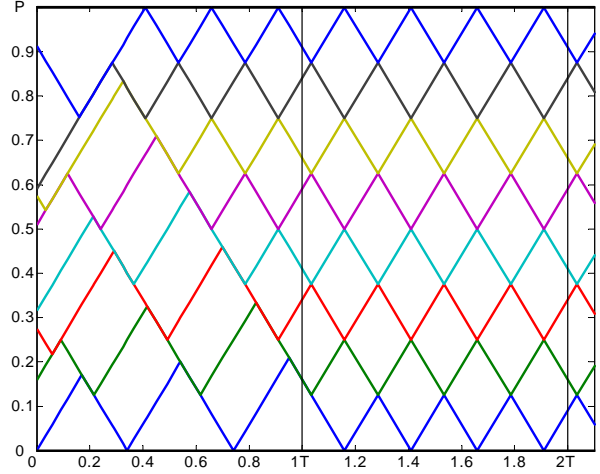
(a) Initial positions and directions for a group of 8 agents in scenario A.



(b) Initial positions and directions for a group of 8 agents in scenario B.



(c) Position of agents along the perimeter over time for scenario A.



(d) Position of agents along the perimeter over time for scenario B.

Figure 4. Team behavior in two scenarios for point agents whose behavior is governed by Algorithm 1. The position of agents along the perimeter is indicated vertically with the time axis shown horizontally. The lattice structure indicates that the desired steady-state behavior has been reached.

IV.A. Comparison with Centralized Algorithm

To understand the characteristics of Algorithm 1, it is useful to compare its performance with other methods of perimeter surveillance. A centralized method for reaching the low-latency exchange configuration is to compare the initial positions of the team with all possible team locations in the low-latency exchange configuration and find the one that requires the shortest convergence time.

Let \mathcal{Q} be the set of team positions during the desired steady-state operation where an element $q \in \mathcal{Q}$ consists of N positions, q_i corresponding to the position of agent i in the low-latency exchange configuration. Note that the set of all team configurations that satisfy the low-latency exchange configuration can be parameterized by the position of the first agent

$$q_i = (i - 1) \frac{P}{N} + \begin{cases} \left(\frac{P}{N} - q_1 \right) & \text{if } i \text{ is even} \\ q_1 & \text{otherwise} . \end{cases} \quad (6)$$

Therefore, if the position of the first agent is known in the low latency configuration, then for a perimeter of length P , q_1 is on the interval $[0, \frac{P}{N}]$ and all other positions can be calculated using

Equation (6). The centralized method is to command the team located at $p_i, i = 1 \dots N$ to converge to q^* where

$$q^* = \arg \min_{q \in \mathcal{Q}} \max_{i=1 \dots N} |p_i - q_i| . \quad (7)$$

In other words, the optimal solution is to pick the low-latency team configuration that is closest to the current position of the team. During the transition from the initial position to the nearest low-latency configuration position q^* , agents reach their correct position and loiter there until the remaining team members have reached their respective positions. While it may not be desirable to allow agents to loiter unless transmitting large amounts of information, this capability makes the centralized algorithm reach a tight lower bound on the achievable convergence speed to the low-latency exchange configuration.

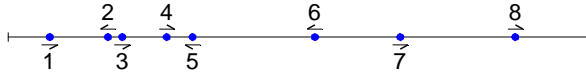
In the worst case scenario where all agents are located at one end of the perimeter, the centralized algorithm converges in time T , twice as fast as the decentralized method. Figure 5 shows a comparison of the centralized algorithm and Algorithm 1. Note that the centralized algorithm requires agents to wait or loiter at the proper location until all agents have reached q^* . This is indicated by the straight lines in Figure 5.

Monte-Carlo simulations indicate that the centralized algorithm reaches the low-latency exchange configuration on average $0.67T$ seconds faster than the decentralized method (standard deviation of $0.17T$ seconds). The maximum time difference between the centralized algorithm and Algorithm 1 was $0.998T$ seconds corresponding to the theoretical worst case difference. The centralized algorithm requires complete knowledge of the state of the team and explicit cooperation of all team members. The value of Algorithm 1 is that its performance is comparable to the optimal solution in speed, but is implemented in a decentralized, robust way.

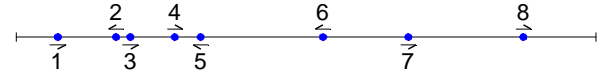
IV.B. Comparison with Consensus Method

The second method to which we compare Algorithm 1 is a distributed consensus algorithm modified for perimeter surveillance. The standard consensus problem for a group of agents is to ensure that as time progresses each agent approaches a consistent understanding of their shared information. For example, one method of coming into consensus is for each agent to repeatedly average its associated variable with those communicated from its immediate neighbors. If the interaction graph among the team contains a spanning tree, then the coordination variable of each agent will asymptotically approach a constant shared value and the team is said to asymptotically reach consensus.¹⁹

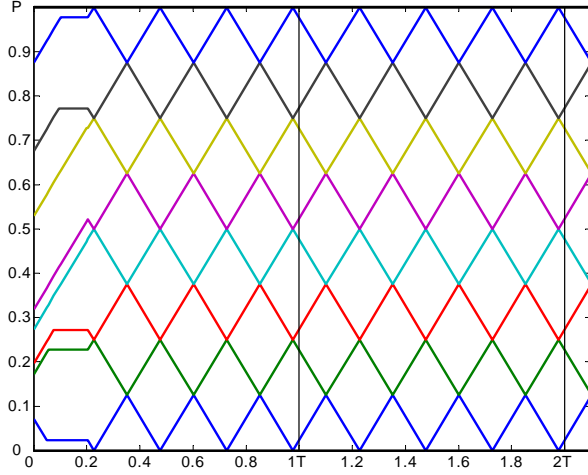
Adapting a consensus method to the perimeter surveillance problem involves defining the value associated for each agent and a strategy for updating those values. Let the length of the segment for which an agent is responsible be the value associated with that agent. Consider the rendezvous of two agents on the perimeter. When agents meet, they communicate the length of their respective



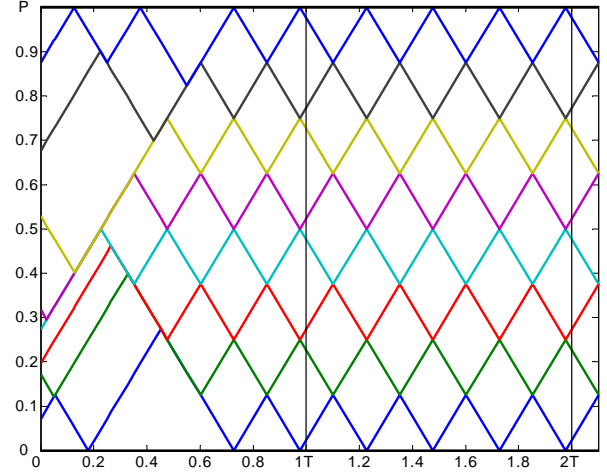
(a) Initial positions and directions for a group of 8 agents.



(b) Initial positions and directions for a group of 8 agents.



(c) Position of agents along the perimeter over time when using the centralized algorithm (7).



(d) Position of agents along the perimeter over time when using Algorithm 1.

Figure 5. Team behavior in a comparison of Algorithm 1 and the centralized algorithm (7). The position of agents along the perimeter is indicated vertically with the time axis shown horizontally. The lattice structure indicates that the desired steady-state behavior has been reached. Straight lines indicate that an agent is maintaining its current position along the perimeter. Note that the centralized algorithm requires agents to wait for the rest of the team to settle into the optimal starting configuration while Algorithm 1 reaches the low-latency exchange configuration after some interaction time.

segments and average to find the midpoint of their shared segment. Both travel together to the midpoint of their shared segment²⁰ and separate with an updated value for how much of the perimeter each is responsible for. For every pair of agents, their shared segment is defined by endpoints determined by the locations where each agent met its other neighbor.

The difficulty with this method is that the value to which the team will converge *must* be P/N where P is the length of the perimeter; otherwise, agents would be continuously overlapping or neglecting part of the perimeter. A specialization of the general consensus problem to the average consensus problem can be made which ensures that the team will converge to the exact average of the initial values. The only remaining difficulty is initializing the system so that the segment lengths associated with the team of agents sum to P . We do this by assuming that agents are launched with a value of zero with the exception of the first agent who travels to the end of the perimeter and initializes its value to P . This approach has three consequences. First, although the algorithm can account for arbitrary perimeter length, the perimeter must remain fixed. Second, loss of an agent during the mission will remove its segment length from the knowledge of the team. In each case, the prerequisites for average consensus would be violated and the team would fail to converge to the true value of P/N . Finally, convergence is, in general, asymptotic in nature rather than in finite-time as Algorithm 1 guarantees. Figure 6 shows the performance of the average consensus

algorithm compared to Algorithm 1. In addition to the above limitations of fixed perimeter length and asymptotic convergence, the consensus method seems to exhibit poor transient response.

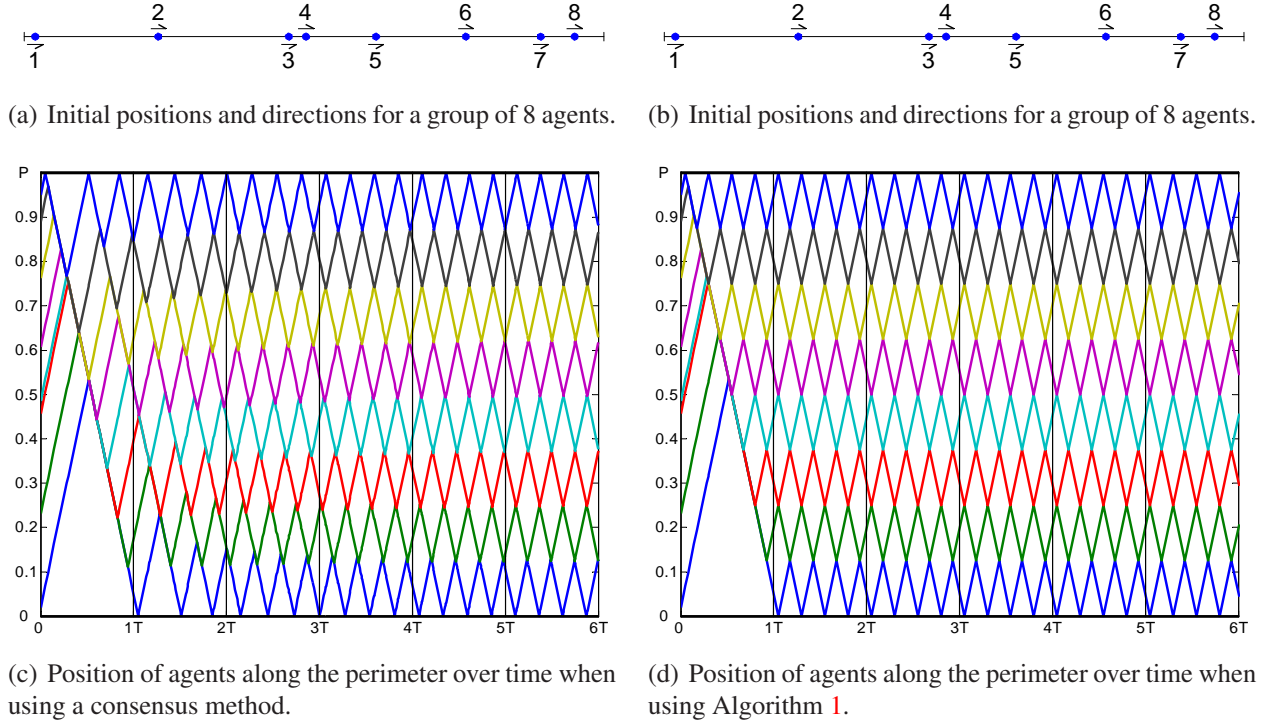


Figure 6. Team behavior in a comparison of Algorithm 1 and a consensus method. The position of agents along the perimeter is indicated vertically with the time axis shown horizontally. The lattice structure indicates that the desired steady-state behavior has been reached. Note that the consensus method converges asymptotically while Algorithm 1 reaches the low-latency exchange configuration in finite time.

Algorithm 1 relies only on interactions of an agent with its immediate neighbors on the perimeter and yet it converges to the low-latency exchange configuration in finite time. In Section V we show that the decentralized nature of the algorithm allows the team to accommodate loss or re-assignment of agents. In the event of a perimeter breach, an agent can be assigned to follow the intruder while the rest of the team reconfigures to monitor the border in its absence. Since the algorithm converges in finite-time, the loss in perimeter coverage is quickly compensated. This same natural reconfiguration behavior is desirable in the event of refueling and agent loss due to hazardous conditions.

V. Changing Perimeters

Theorem 1 ensures finite time convergence to the low-latency exchange configuration of Section III when the correct values of the coordination variables are known by each agent. By allowing each agent to update its instantiation of the coordination variables, Algorithm 1 can be modified to ensure each member of the team will obtain the correct values. This will allow the team to naturally compensate for agent reassignment or loss and perimeter growth.

Each agent maintains local instantiations of the coordination variables that track the perimeter distance and the number of agents to its left and to its right. These coordination variables are updated when meeting with another agent on the team by querying the neighbor about the portion of the perimeter which it has most recently traveled. If the perimeter and number of agents is fixed, then the coordination variables will eventually be consistent among the team since agents are guaranteed to meet both neighbors. Once the coordination variables are correct, Theorem 1 ensures that the desired steady-state behavior will be achieved. Note that the same method used to update the coordination variables can also be used to detect changes in the perimeter or insertion/deletion of team members.

Algorithm 2: Variable Neighbor Escort — from the Perspective of Agent i

if agent i (left) rendezvous with neighbor j (right) **then**

 Update perimeter length and team size:

$$P_{R_i} = P_{R_j}$$

$$P_{L_j} = P_{L_i}$$

$$N_{R_i} = N_{R_j} + 1$$

$$N_{L_j} = N_{L_i} + 1.$$

 Calculate team size $N = N_{R_i} + N_{L_i} + 1$.

 Calculate perimeter length $P = P_{R_i} + P_{L_i}$.

 Calculate relative index $n = N_{L_i} + 1$.

 Calculate segment endpoints $\mathcal{S}_i = \{ \lfloor n - \frac{1}{2}(-1)^n \rfloor P/N, \lfloor n + \frac{1}{2}(-1)^n \rfloor P/N \}$.

 Communicate \mathcal{S}_i to neighbor j and receive \mathcal{S}_j .

 Calculate shared border position $p = \mathcal{S}_i \cap \mathcal{S}_j$.

 Travel with neighbor j to shared border p .

 Set direction to monitor own segment.

else if reached left perimeter endpoint **then**

 Reset perimeter length to the left $P_{L_i} = 0$.

 Reset team size to the left $N_{L_i} = 0$.

 Reverse direction.

else if reached right perimeter endpoint **then**

 Reset perimeter length to the right $P_{R_i} = 0$.

 Reset team size to the right $N_{R_i} = 0$.

 Reverse direction.

else

 Continue in current direction.

Algorithm 2 operates in the same manner as Algorithm 1, with the additional steps of communicating and updating the coordination variables. For example, consider two agents starting from opposite ends of the perimeter, each without knowledge of the other. Let agent 1 start at $x = 0$

and agent 2 start at $x = P$, but let the launch time of agent 2 be delayed with respect the launch of agent 1. As each agent progresses along the perimeter, it keeps track of the distance traveled from launch. When the two agents finally meet, agent 1 updates N_{R_1} to be equal to one plus the number of agents to the right of agent 2 and P_{R_1} equal to P_{R_2} communicated from agent 2; similarly, agent 2 updates N_{L_2} and P_{L_2} from the communication from agent 1. At this point, the coordination variables are correct and Theorem 1 ensures that the low-latency exchange configuration will be reached in finite time.

Theorem 2. *Let the perimeter length P and number of agents N be fixed. Algorithm 2 ensures that the low-latency exchange configuration is achieved before $5T$ for arbitrary initial conditions of position, direction, and coordination variables of each agent on the team.*

Proof. We first prove that all agents on the team converge to the correct coordination variables in finite time when using Algorithm 2. Since an agent only changes direction at perimeter endpoints or when completing a meeting with its neighbors, all agents are guaranteed to meet their neighbors along the perimeter.

Order the N agents from the left edge of the perimeter as $1, \dots, N$ and consider the actions of agent 1. Agent 1 is guaranteed to visit the left endpoint of the perimeter either after an escort from agent 2 or immediately due to initial conditions. Once agent 1 has visited the perimeter endpoint, both N_{L_1} and P_{L_1} are correct due to the section of Algorithm 2 that resets those variables at endpoint rendezvous. Now consider the meeting of agent 1 and agent 2. At this point, agent 2 updates N_{L_2} and P_{L_2} through communication with agent 1 and thereby obtains correct values for those coordination variables. Note that repeated meetings between agent 1 and 2 will not change the correctness of their coordination variables since N and P are fixed. Now consider agent 2 as the left most agent in a team of $N - 1$ agents and note that its right neighbor is ensured to obtain correct left coordination variables. Clearly, the same holds from the right end of the perimeter. Since only one neighbor meeting is required after the endmost agent has obtained correct coordination variables and the team size is reduced at each stage and meetings are guaranteed to occur in finite time, the entire team obtains correct coordination variables in finite time.

During the transient period when the team is learning the correct coordination variables, the calculation of the shared segment border is incorrect relative to the low-latency configuration, but consistent among the agents involved in the rendezvous. This can be seen by noting that after both agents have communicated and updated their coordination variables with the other, they each have the same understanding of P and N and can consistently calculate their shared border position. So while they are escorting each other to the (ultimately) wrong position, they are still guaranteed to continue in the correct directions to ensure that each agent meets both its neighbors.

Once the coordination variables are correct for each agent on the team, application of Theorem 1 ensures that the low-latency exchange configuration will be met in finite time.

In evaluating the performance of Algorithm 2, we examine the worst case scenario for a bound on the convergence time. The worst case occurs when the overlap of the team is the greatest, that is, when agents are forced to travel together rather than space out along the perimeter.

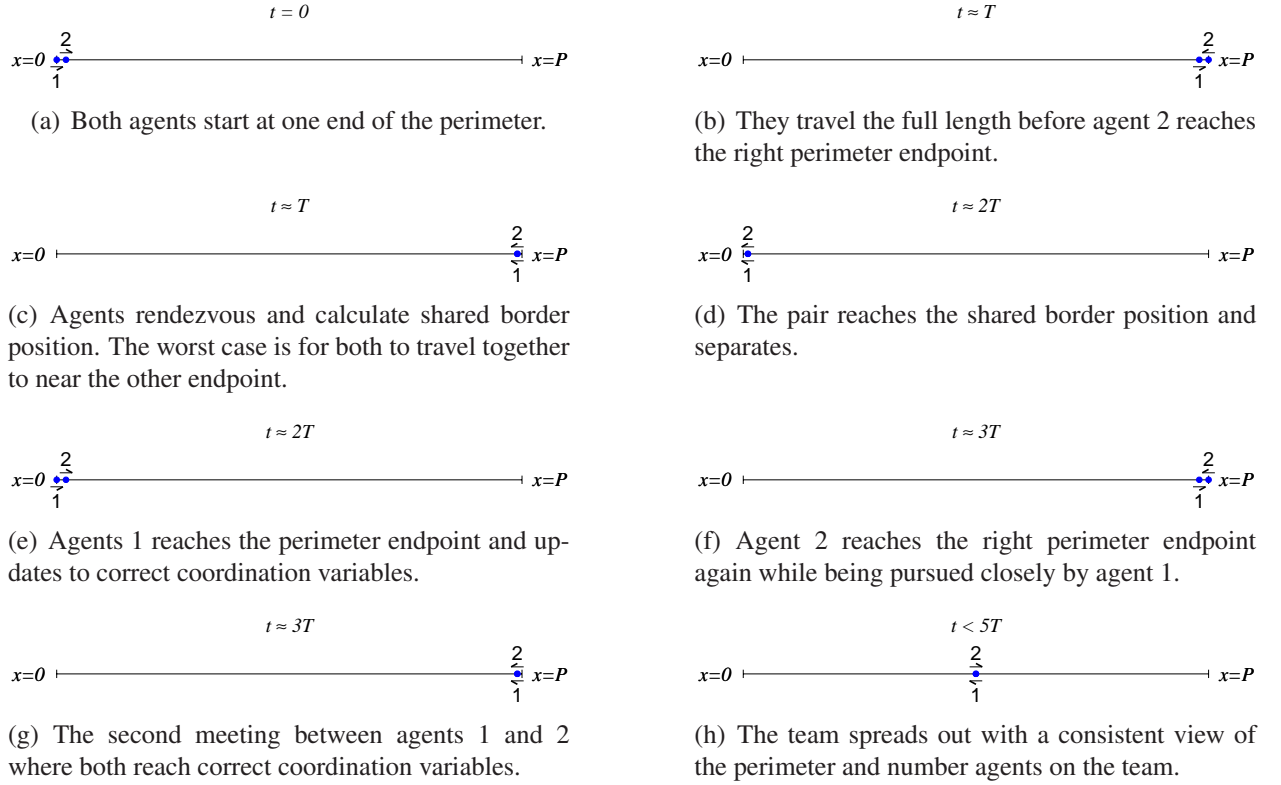


Figure 7. Worst case scenario for convergence to the low-latency exchange configuration when initial values of the coordination variables are arbitrary.

Consider N agents stacked infinitesimally close at $x = 0$ and headed in the positive direction (see Figure 7(a)). After time T has passed, agent N will reach the right perimeter endpoint and update P_{R_N} and L_{R_N} to correct values (Figure 7(b)).

Once agent N has visited the perimeter endpoint, it will rendezvous with the rest of the team (Figure 7(c)). At this instant, agent 1 has arbitrary values for its coordination variables. Suppose that the coordination variables for agent 1 and agent N are such that the shared border position for agents N and $N-1$ is at $x = \epsilon$ for $\epsilon \ll 1$. In this case, the entire team will again travel the length of the perimeter to $x = \epsilon$ which requires less than another T units of time (Figure 7(d)).

At this point, agent N separates from the team and begins heading toward the right end of the perimeter. Agents $1 \dots N-1$ encounter the left endpoint of the perimeter and update to a completely correct set of coordination variables (Figure 7(e)). With correct coordination variables, the team begins to space out equally along the perimeter. Note that agent $N-1$ will chase agent N the entire length of the perimeter since it will escort agent $N-2$ to their shared border position and then continue to the right.

Agent $N-1$ follows agent N to the right end of the perimeter for T units of time (Figure 7(f)).

Once they meet, agent N will update to correct coordination variables (Figure 7(g)). At this point, $3T$ has passed and the team satisfies the conditions for Theorem 1 since each has correct coordination variables. By Theorem 1, at most $2T$ additional time is need to converge to the low-latency exchange configuration (Figure 7(h)). Therefore, $3T$ is an upper bound to convergence of the team to correct coordination variables and $5T$ is an upper bound to convergence of the team to the low-latency exchange configuration.

□

Algorithm 2 is successful because each agent has finite memory. Since the local instantiations of the coordination variables are updated with the most recent information gathered, past information does not affect team behavior. In addition to enabling the team to come to correct values of the coordination variables, this finite memory property allows the team to adapt to step changes in perimeter and team size. Since Algorithm 2 operates under arbitrary initial conditions, a step change in perimeter or team size would be analyzed by simply considering new initial conditions of the team at the time of the step change. Figure 8 shows agents tracking a perimeter with a step change in size and a perimeter with sinusoidal growth. The algorithm accommodates step changes in perimeter size, but also allows good tracking for other types of perimeter growth. Note that agents do not have any knowledge *a priori* of the perimeter length or number of agents on the team. The coordination variables of each agent are updated through repeated interactions with other team members.

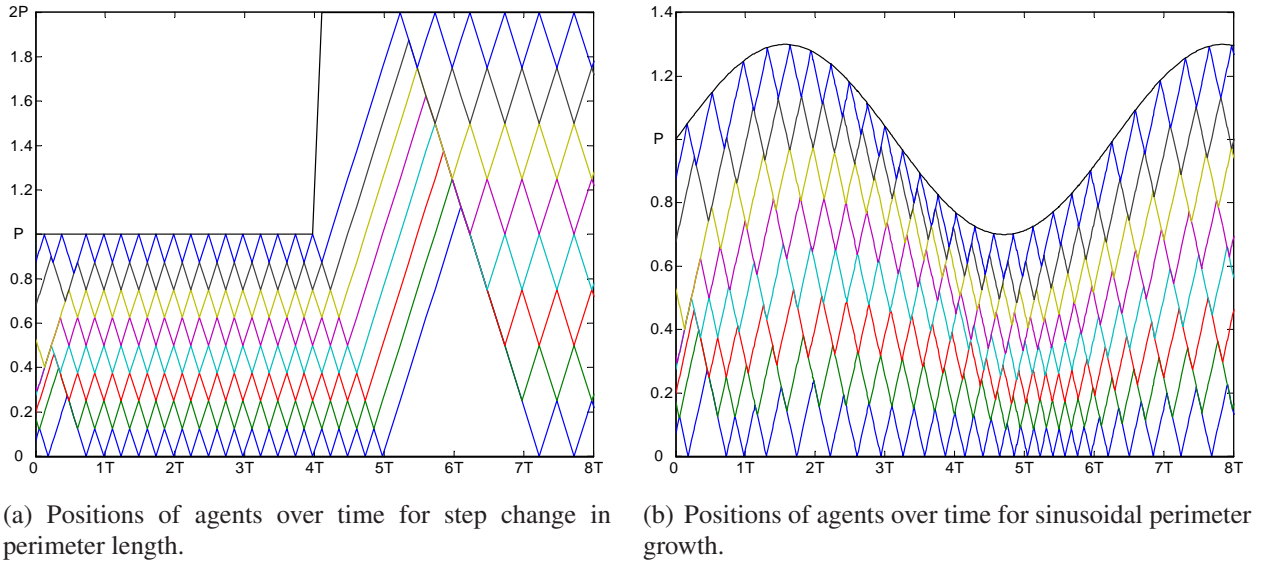


Figure 8. Team behavior of agents tracking changing perimeters using Algorithm 2 to continuously update the coordination variables. Agents learn the size of the perimeter and number of agents on the team through repeated interaction with other team members.

Algorithm 1 can also be extended to account for long communication events. In a perimeter imaging scenario, agents survey the perimeter segment for which they are responsible and when each meets its neighbor it must transmit large amounts of data. In this case, agent meetings cannot

be instantaneous, rather a fixed amount of time is allotted for agents to loiter at the meeting location to allow longer communication events. After an agent finishes escorting its neighbor, both loiter together for a pre-determined amount of time. Figure 9 shows a scenario involving long communication events.

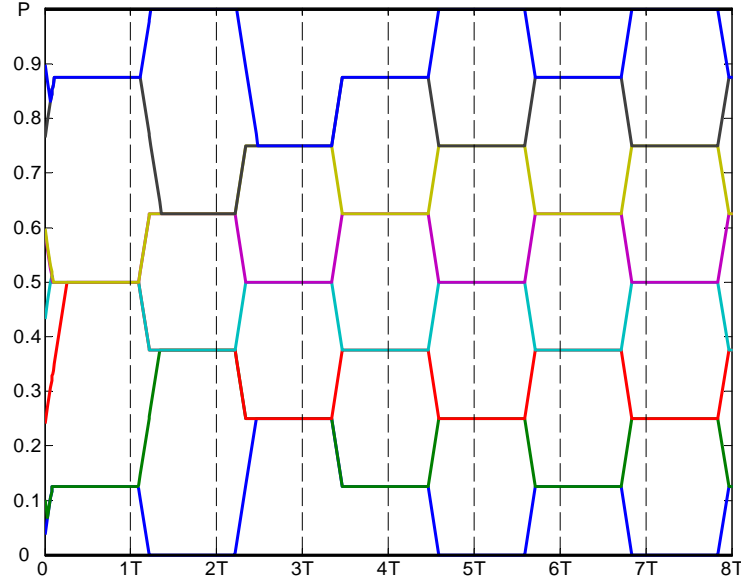


Figure 9. Example scenario where Algorithm 1 is modified to account for long rendezvous timing.

VI. UAV Agents

Algorithm 1 developed the motion of the reference points for a team of UAVs to follow to achieve the low-latency exchange configuration. In practice, the reference point generalization is only followed when agents are involved in a rendezvous with another agent. Between meetings, the center of the constant airspeed UAV is considered the point along the perimeter. However, since a UAV has a constrained turning radius, it cannot precisely follow a reference point that can instantaneously turn around. The purpose of this section is to investigate the application of Algorithm 1 when the dynamics of the UAVs are considered.

In Section III agents are modeled as points that could communicate only when touching. Now consider UAVs flying at constant velocity with nominal turning radius R . A maneuver for reversing direction with constrained turning radius is shown in Figure 10 where the UAV follows arcs along minimum turn radius circles to complete the path direction reversal.

The distance required to travel around the U-turn trajectory in Figure 10 is $\Delta = \frac{7}{3}\pi R$. To allow the reference point to follow the pattern dictated by Algorithm 1, both UAVs must be able to communicate far enough in advance to begin their U-turn maneuvers so that they complete the maneuver in time to continue following their reference point. Since each requires a distance of

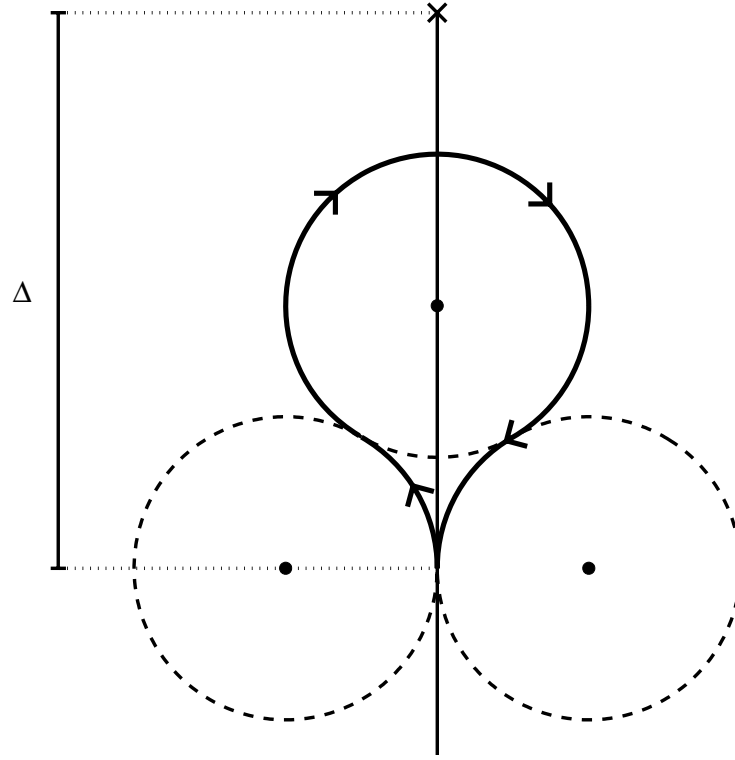


Figure 10. U-turn maneuver that satisfies the constrained turning radius of the UAV.

$\frac{7}{3}\pi R$ to turn around, the minimum communication radius allowed must be $\frac{14}{3}\pi R$ so that both can be aware of an imminent rendezvous.

Other methods of rendezvous can be implemented to allow for shorter communication range. For example, the U-turn maneuver could be implemented by having both UAVs circle the point of rendezvous before continuing on in the prescribed direction. This is implemented by having the reference points wait at the rendezvous similar to the behavior of the agents that have long communication events. In other words, when UAVs meet, they loiter at the rendezvous point for a specified amount of time before continuing with the algorithm and data gathering.

A method for reducing the amount of turning around by the team is for neighbors to switch roles at rendezvous. This allows both to continue in their current directions while still maintaining the integrity of the algorithm. When two agents meet, they can negotiate which direction is of higher utility and swap roles if necessary. This would allow UAVs to move down the perimeter toward the base station for refueling without disrupting the perimeter surveillance pattern of the team.

VII. Simulation Results

To verify the feasibility of implementing Algorithm 1 on a team of UAVs, a high fidelity simulation was performed. Each UAV was simulated with full 6 degree-of-freedom dynamics model

with aerodynamic parameters that match the small UAVs flown at BYU.¹⁷ The simulation scenario involved three UAVs monitoring a changing perimeter composed of 4 waypoints with a total length of 1.46 km. Each UAV is equipped with autopilot software that enables accurate waypoint tracking¹⁷ with a turning radius of approximately 50 meters. The communication model allows UAVs to communicate only to adjacent neighbors who are inside the communication range of approximately 370 meters, the minimum distance necessary to perform the U-turn maneuver.

The simulation scenario starts with only two of the three UAVs being launched. Each agent starts without knowledge of the number of agents on the team or the perimeter length. Even though the perimeter is defined by predetermined waypoints, we require the UAVs to initially treat the perimeter length as unknown. After about 400 seconds, a step change in the perimeter length occurred by adding an additional waypoint, followed by another change a short time later. At approximately 900 seconds in simulation time, the third UAV was launched. Before the simulation terminated, the team experienced two more changes in the perimeter length, one at each end.

Figure 11 shows the simulation results by plotting the normalized position of each UAV along the length of the perimeter. Note that in the regions where the team should already be locked into the ideal configuration, some position overlap is still observed. This is caused by the inability of the UAVs to perform the U-turn maneuver precisely, and results in a disturbance to the system. However, the overall behavior of the team is as expected, with the agents reaching the desired steady-state behavior quickly and reacting appropriately to step changes in both the perimeter length and team size.

It should be noted that even though the UAVs cannot turn around instantaneously, the position plot in Figure 11 shows the reference point being followed by the UAV. When the UAV is not implementing a U-turn, the reference point is the center of the UAV; during U-turn maneuvers, the reference point continues along the path to the agreed upon rendezvous point and reverses direction.

VIII. Flight Test Results

The decentralized cooperative-surveillance algorithm was further validated by hardware flight tests using the experimental testbed described in Ref. 17. Figure 12 shows the key elements of our testbed. The first frame shows the Kestrel autopilot which is equipped with a Rabbit 3000 29 MHz processor, rate gyros, accelerometers, and absolute and differential pressure sensors. The second frame in Figure 12 shows the airframes similar to the ones used for the flight tests reported in this paper. The airframe is a 48 inch wingspan Zagi XS EPP foam flying wing that was selected for its durability and adaptability to different mission scenarios. Embedded in the airframe are the autopilot, batteries, a 1000 mW, 900 MHz radio modem, and a GPS receiver. The third frame in Figure 12 shows the ground station components. A laptop runs the Virtual Cockpit software that

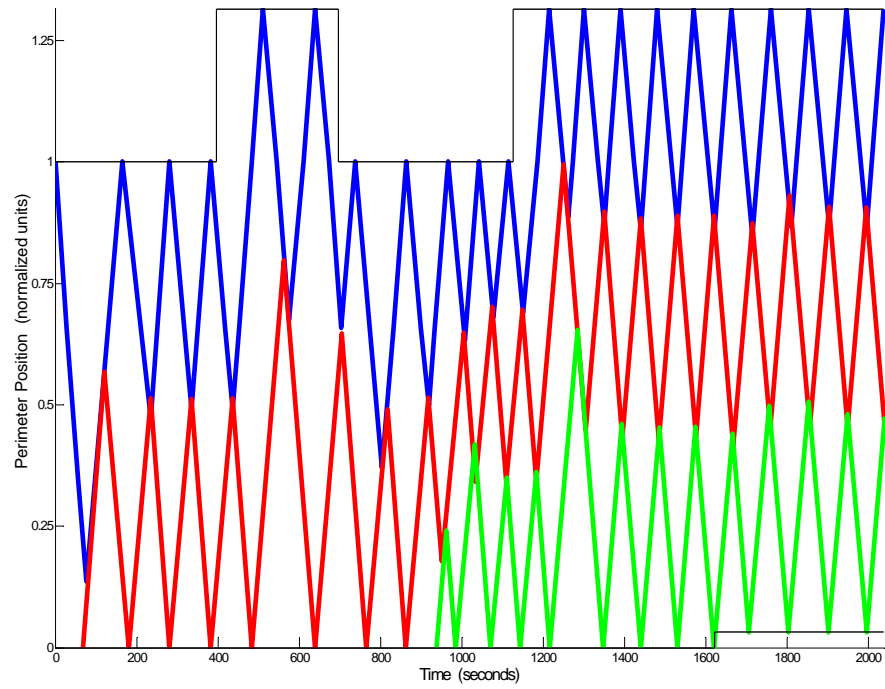


Figure 11. Simulation results showing the normalized position of each UAS along the perimeter. Changes to the perimeter length occurred at approximately 400, 700, 1100, and 1600 seconds. The third agent was introduced at approximately 950 seconds. The sharp peaks are a result of the coordination variables being reset.



Figure 12. Hardware platform used to obtain experimental results. The first frame shows the Kestrel autopilot designed at BYU. The second frame shows airframes similar to those used in this study. The third frame shows the ground station components for our testbed.

interfaces through a communication box to the UAVs. An RC transmitter is used as a stand-by fail-safe mechanism to facilitate safe operations.

Flight test results involving two UAVs are shown in Figure 13 which displays the normalized position of two UAVs along the perimeter. Figure 14 shows the inertial position plots that were generated from the actual telemetry files of the UAVs. Figure 14 demonstrates the algorithm by showing (a) the initial condition for the two agents, (b) the first rendezvous, (c) the turn-around at the shared border, (d) the first meeting of the perimeter endpoints, (e) the second rendezvous, and (f) the second meeting of the perimeter endpoints.

The algorithm was initiated at approximately 50 seconds, after the two agents had passed each other. The first UAV (blue), having traveled a greater distance than its neighbor, turned around immediately while the second UAV (red) traveled to the shared border before turning around. At

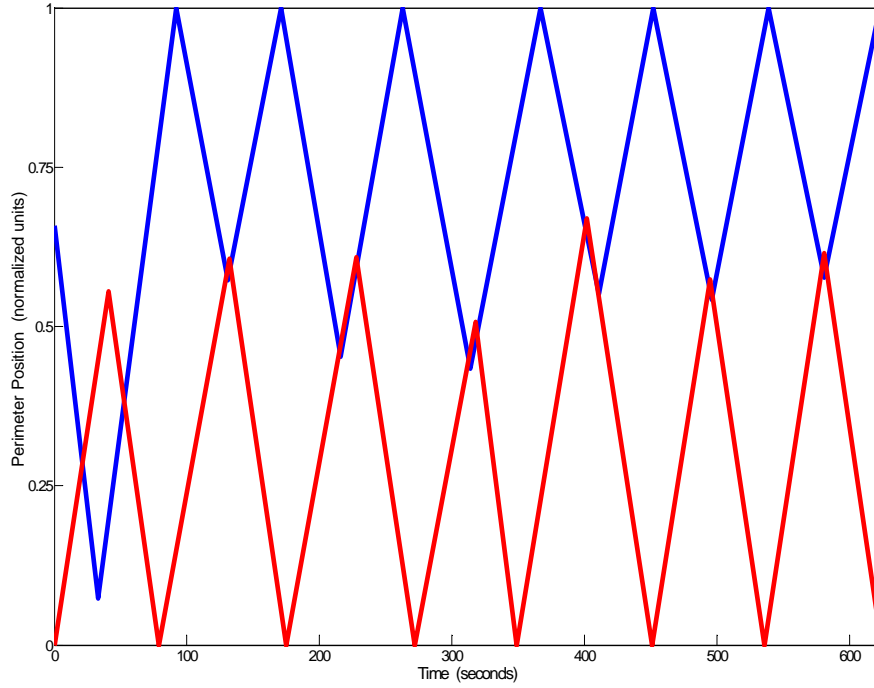


Figure 13. Experimental results showing the normalized position of each UAS along the perimeter. The decentralized cooperative-surveillance algorithm was started at approximately 50 seconds.

this point the agents reached the steady-state configuration. As seen in Figure 13, there is some overlap in position between the two agents. This is a result of the inability of the UAVs to complete a precise U-turn maneuver. It should also be noted that the shared-border position of the two agents appears to be around 60% of the perimeter length instead of the theoretically predicted 50%. This deviation was caused by wind pushing the second agent, thereby enabling Agent 2 to cover more distance than Agent 1. Wind speeds during the flight tests were estimated at 35% of the airspeed of the UAVs. Despite the disturbance of the wind, the agents were still able to effectively distribute themselves evenly along the perimeter.

IX. Conclusions

This paper has presented a decentralized algorithm for perimeter surveillance that converges in finite time. By sharing information regarding the perimeter length and number of team members, each agent obtains a consistent set of coordination variables that allows the decentralized algorithm to operate effectively. Advantages of the algorithm include the ability to monitor changing perimeters, account for dynamic insertion and deletion of team members, and the ability to operate with a small communication range in a decentralized manner. Simulation and flight tests were performed to validate the effectiveness of the algorithm.

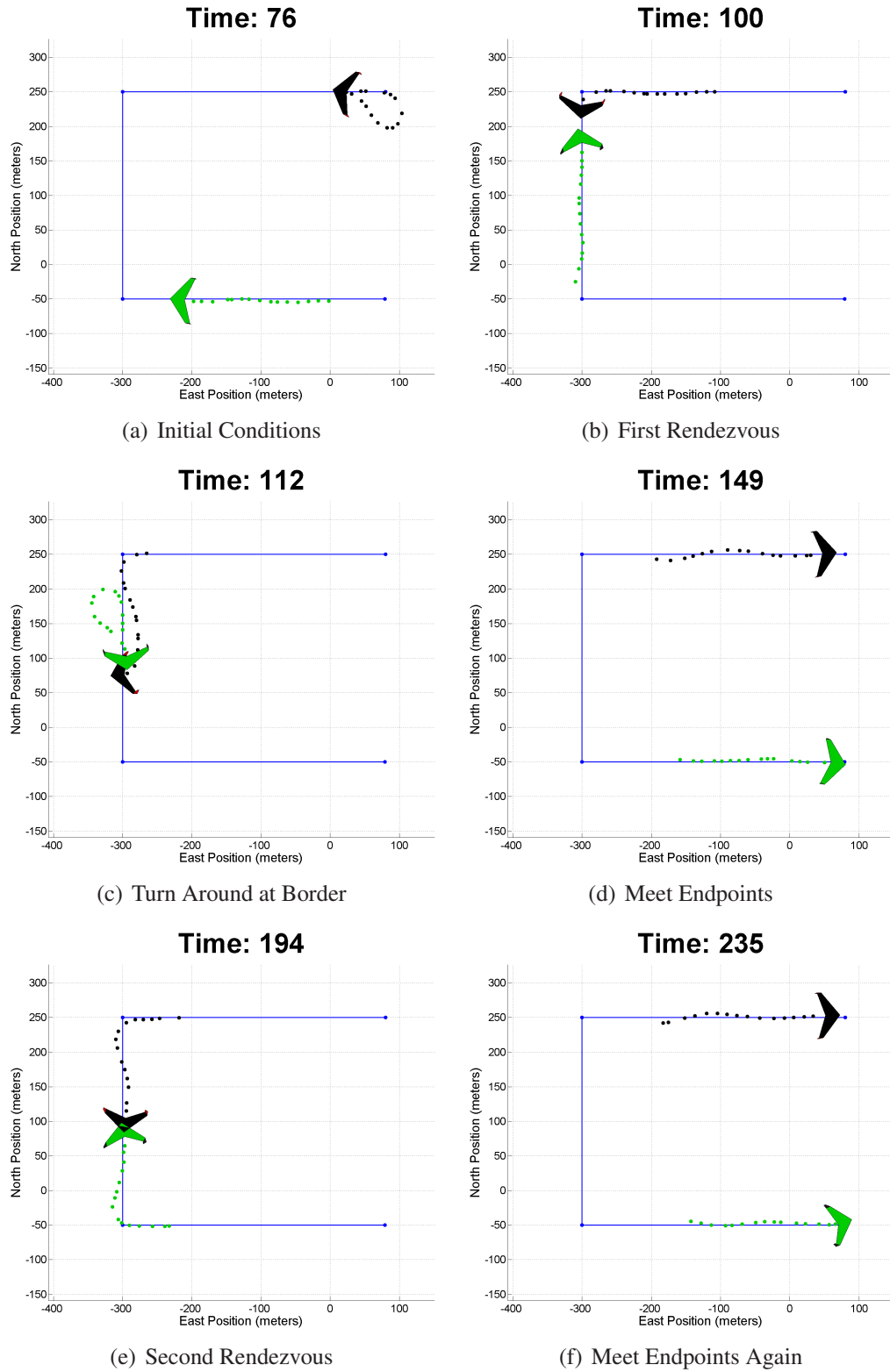


Figure 14. Various plots generated from the actual telemetry files of the UAVs collected during the experimental flight tests. These demonstrated the functionality of the distributed spread algorithm, where (a) are the initial conditions, (b) is the first rendezvous, (c) is the turn-around at the shared border, (d) is the first meeting of the perimeter endpoints, (e) is the second rendezvous, and (f) is the second meeting of the perimeter endpoints.

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